

**GOVERNMENT OF PUERTO RICO
PUBLIC SERVICE REGULATORY BOARD
PUERTO RICO ENERGY BUREAU**

IN RE: THE PERFORMANCE OF THE
PUERTO RICO ELECTRIC POWER
AUTHORITY

CASE NO.: NEPR-MI-2019-0007

SUBJECT: Genera and LUMA's October 20th,
November 3rd, and November 20th Motions;
Requirement to Submit Supporting
Workpapers and Data

RESOLUTION AND ORDER

I. Introduction

Since June 2021, LUMA Energy, LLC, LUMA Energy ServCo, LLC, (collectively, "LUMA") has submitted quarterly performance reports following the data template established by the Energy Bureau of the Puerto Rico Public Service Regulatory Board ("Energy Bureau"). As part of its quarterly submission, LUMA submits both the populated data template and supporting files for certain metrics.

On January 15, 2023, the Energy Bureau approved an operation and maintenance agreement with GENERA PR, LLC ("Genera").¹ Genera effectively took over operation of the legacy generation assets from the Puerto Rico Electric Power Authority ("PREPA") beginning on July 1, 2023. Genera, as Operator of the Thermal Generation Facilities under the Puerto Rico Thermal Generation Facilities Operation and Maintenance Agreement ("LGA OMA"), is responsible for reporting on generation data. Since October 20, 2023, LUMA's quarterly submissions have included generation data submitted on behalf of Genera.

In this Resolution and Order the Energy Bureau:

- A. Directs LUMA and Genera to regularly file additional supporting data and workpapers for the performance metrics specified in this order.
- B. Requires Genera to submit supporting data and workpapers on additional performance metrics on which Genera is able to earn incentives per the LGA OMA.
- C. Responds to Genera's October 20th, November 3rd, and November 20th Motions regarding the quality of its reporting and missing reporting metrics
- D. Responds to LUMA's October 20 Motion requesting the modification of the reporting template and the temporary deferral of reporting of September 2025 values for several metrics

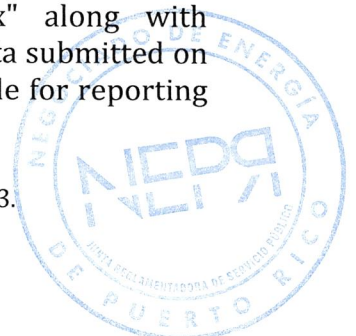
II. Procedural Background

On May 14, 2019, the Energy Bureau issued a Resolution and Order ("May 14 Resolution") in which it determined that it would be in the public interest to start the PREPA data gathering process to help the Energy Bureau and the stakeholders in developing measures, metrics, and targets and to provide useful information for developing incentive and penalty mechanisms. The May 14 Resolution required PREPA to provide quarterly reports of key performance metrics and indicators, beginning September 15, 2019.

On May 21, 2021, the Energy Bureau issued a Resolution and Order ("May 21 Resolution") through which it established baselines and benchmarks for certain performance metrics. The Energy Bureau ordered PREPA and LUMA to submit the required quarterly reports using the Excel template ("data template") included as part of the May 21 Resolution.

On October 20, 2023, LUMA filed its Submission of Performance Metrics Report for July through September 2023. As part of its submission, LUMA provided a file containing its performance data called "Resumen Metricas Master_October2023.xlsx" along with supporting data workbooks. The "Resumen Metricas" file also contained data submitted on behalf of Genera. This was the first quarter in which Genera was responsible for reporting data.

¹ See *In Re: Certificate of Energy Compliance*. Case No. NEPR-AP-2022-0001, January 15, 2023.



On January 17, 2025, the Energy Bureau issued a Resolution and Order ("January 17 Resolution") in which it issued a new data template to be used starting with the FY2025 Q3 submission.

On May 16, 2025, the Energy Bureau issued a Resolution and Order ("May 16 Resolution") requiring LUMA to begin reporting System Average Interruption Duration Index ("SAIDI") and System Average Interruption Frequency Index ("SAIFI") on a monthly basis.

On June 18, 2025, the Energy Bureau issued a Resolution and Order titled *Supporting Calculations and Data for Performance Incentive Metrics Submitted* ("June 18 Resolution") in which it requested additional information from LUMA on underlying data and calculations on performance metrics for which there are approved targets in proceeding *In re: Performance Targets for LUMA Energy Servco, LLC*, Case No. NEPR-AP-2020-0025.

On July 3, 2025, LUMA filed a motion titled *Motion in Partial Compliance with June 18, 2025, Order and Request for Extension of Time to Comply with Inspection Metrics Data* ("July 3 Motion"). In this motion, LUMA submitted workpapers for performance metrics in Attachment A of the Energy Bureau's June 18 Resolution and requested an extension of time until August 1, 2025, to submit required data for the inspection metrics.

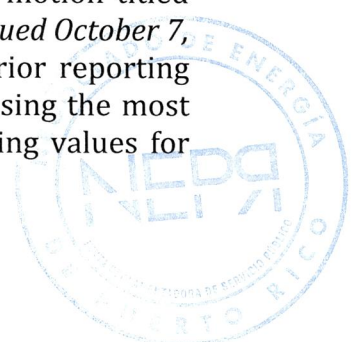
On July 21, 2025, LUMA filed its Submission of Performance Metrics Report for April through June 2025 (July 2025 Submission) along with a Motion titled *Motion Submitting Quarterly Report on System Data for April through June 2025*. As part of its submission, LUMA restated values for Total installed distribution generation installations- Photovoltaic, Total number of distributed generation capacity- Photovoltaic and NEM Project activation duration fiscal-year-to-date ("FYTD") metric. Additionally, LUMA requested that the Energy Bureau modify the data template to include additional municipalities for the metric titled, "Percent of customers on AMI metric."

On August 1, 2025, LUMA filed a motion titled *Motion in Compliance with the Resolution and Order of June 18, 2025, and Request for Confidential Treatment* (August 1 Motion). In this motion, LUMA submitted supporting workpapers for the inspection metrics data files.

On October 7, 2025, the Energy Bureau issued a Resolution and Order titled *General Performance Metric Quarterly Reporting* (October 7 Resolution) in which the Energy Bureau identified deficiencies in Genera's quarterly reporting practices. The Bureau found that Genera's filings deviate from the required data template issued with the January 17 Resolution and lacked clarity on the financial metric "Capital Expenses vs. Budget (FYTD)" for Federally funded and Non-federally funded subgroups and failed to provide complete data for emissions metrics and cost of generation metrics.

On October 20, 2025, LUMA filed its Submission of Performance Metrics Report for July through September 2025 (October 2025 Submission) along with a Motion titled *Motion Submitting Quarterly Report on System Data for July through September 2025* (LUMA October 20 Motion). In this motion, LUMA corrected prior data for distributed generation, accounts payable, and reliability metrics, and it excluded generation data that Genera submitted separately. LUMA requested again that the Energy Bureau modify the reporting template to expand the Percent of Customers on AMI metrics to all 78 municipalities. LUMA stated it is not reporting September 2025 values for certain metrics due to reporting system changes and requested that the Energy Bureau authorize the deferral of this data until the next quarterly report. These metrics include monthly system sales by customer class, monthly sales by municipality, average revenue per kilowatt-hour sold, technical losses as a percentage of net generation, and generation from RPS-eligible PPOAs (as a percentage of sales).

On October 20, 2025, Genera filed its Submission of Performance Metrics Report for July through September 2025 (Genera October 2025 Submission) along with a motion titled *Motion to Submit Quarterly Report and to Comply with Resolution and Order issued October 7, 2025* (Genera October 20 Motion). In the motion, Genera acknowledged prior reporting errors, including use of an outdated template, and provided updated data using the most recent version issued in the January 17 Resolution. Genera explained missing values for



several metrics, citing delays in federal funding allocations, data dependencies with PREPA for hydroelectric plants, and the need to refine calculations for cost of generation by plant type and emissions reporting. Genera requested a thirty-day extension to meet the reporting requirements.

On November 3, 2025, Genera filed a motion titled *Motion to Request Meeting with the Energy Bureau's Consultants regarding Quarterly Reporting Requirements*. Genera requested a meeting to clarify questions regarding hydroelectric plant data and capital expenses vs. budget metrics.

On November 20, 2025, Genera filed a motion titled *Motion to Submit Revised Quarterly Report and to Reiterate Genera's Request for Meeting with PREB's Consultants*. In this motion, Genera stated that it had completed some updates required under the October 7 Resolution related to cost of generation, total emissions, and emissions rate metrics. Genera also reiterated its request to clarify the issues referenced in its November 3 Motion.

III. Discussion

A. Performance Metric Supporting Data

The quarterly performance reports submitted by LUMA and Genera provide important information for the Energy Bureau and stakeholders to understand system performance and trends. However, while the populated data template (i.e., Resumen Metricas file) is an informative summary of performance, to support sufficient regulatory oversight, the Energy Bureau requires additional supporting data to ensure that the monthly values are transparent and independently verifiable.

The Energy Bureau acknowledges that, in addition to the populated data template, LUMA submits as part of its quarterly submissions supporting workpapers for several performance metric areas: finance, fuel, overall system, transmission and distribution, customer service, and renewable/DSM. Since January 2024, Genera has been providing an additional tab in the Resumen Metricas file titled "Fuel" which provides information on system fuel consumption.

While the files provide additional detailed data that support some metrics that LUMA and Genera report in the data template, there are still many metrics that do not have associated workpapers.

The Energy Bureau **ORDERS** LUMA and Genera to submit the underlying data and supporting workpapers for the metrics in Attachment A for FY2025 and to continue reporting the underlying data and workpapers at the frequency specified in Attachment A. The Energy Bureau intends to use this data to verify LUMA's and Genera's annual performance as summarized in the Energy Bureau's annual reports in this proceeding. LUMA and Genera must submit these workpapers in Microsoft Excel format, with all formulas intact and include all raw data used to calculate the values reported in their quarterly submissions. The Energy Bureau intends to verify LUMA's and Genera's reported FY25 values. Therefore, LUMA and Genera should ensure all submitted data and workpapers demonstrate the calculation steps and are aligned with the values reported in its quarterly filings. If LUMA or Genera uncover reporting errors while preparing these workpapers, they should submit a corrected Resumen Metricas file along with the workpapers.

B. Genera Incentive Metric Supporting Data

Under the LGA OMA, Genera is eligible to receive additional compensation or may be subject to a potential penalty based on its performance in providing the services as prescribed in the agreement. Annex II Section III of the LGA OMA establishes the performance categories for which Genera will be evaluated: (i) Operation Cost Efficiency, (ii) Equivalent Availability Factor (EAF), (iii) Safety Compliance, (iv) Environmental Compliance, (v) Reporting Obligations, (vi) Fuel Savings, and (vii) Decommissioning Costs Efficiency. Additionally, the LGA OMA provides definitions and targets for ten (10) performance incentive metrics (i.e., "measurement parameters") that fall under the performance categories. The table below summarizes the performance incentive metrics and their definitions or calculations.

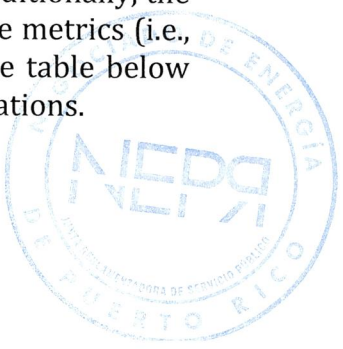


Table 1. Genera Incentive Metrics from LGA OMA

Performance Category	Metric	Definition
Operation Cost Efficiency	Operation Cost Efficiency	Actual expenditures as a percentage (%) of the approved Operating Budget, where actual savings equal the Operating Budget minus actual expenditures
Equivalent Availability Factor	Equivalent Availability Factor (EAF) for Baseload Units	Equivalent Availability Factor for Baseload Units EAF= [(Available Hours - Equivalent Planned Derated Hours - Equivalent Unplanned Derated Hours)/Period Hours or Number of hours that the Legacy Generation Asset was in active state]x100%
	Equivalent Availability Factor (EAF) for Peaking Units	Equivalent Availability Factor for Peaking Units EAF= [(Available Hours - Equivalent Planned Derated Hours - Equivalent Unplanned Derated Hours)/Period Hours or Number of hours that the Legacy Generation Asset was in active state]x100%
Safety Compliance	OSHA Lost Time Incidents (LTI)	Number of lost time incidents
	OSHA Recordable Injury or Illness (I&I)	Number of recordable injuries or illness incidents
	OSHA Fatality or Severe Injury	Number of fatalities or severe injuries
Environmental Compliance	Number of Violations or NOV's	Violation of Consent Decrees and/or Notice of Violations (NOV's)
Reporting Obligations	Reporting Obligations	For every fifteen (15) sequential days during which Operator fails to respond to Administrator, Operator shall pay a penalty of US\$100,000 (the "Reporting Obligation Charge")
Fuel Optimization	Fuel Optimization	Operator shall receive a "Fuel Optimization Payment" of fifty percent (50%) of any Actual Fuel Savings achieved during the relevant Contract Year
Decommissioning Costs Efficiency	Decommissioning Costs Efficiency	Actual expenditures as a percentage (%) of the approved Decommissioning Budget, where actual savings equal the Decommissioning Budget minus actual expenditures

Genera reports several metrics in its quarterly reporting that are similar to the performance incentive metrics. However, it is not clear if the methodology used in the quarterly reports matches the definition in the LGA OMA. Additionally, there are some other performance incentive metrics on which Genera does not currently report in its quarterly reporting in this proceeding. The Energy Bureau intends to align Genera's quarterly reporting with the incentive metrics in the LGA OMA. To maximize efficiency of this process, and to ensure the modifications to the reporting template are clear and holistic, the Energy Bureau **ORDERS** Genera to submit in a separate filing the following information within thirty (30) days of notification of this order:

- Historical FY24 and FY25 **monthly** data for each performance incentive metric in Table 1, including calculations, supporting data and workpapers, and definitions. The supporting data should include, at a minimum, the components of the metric definition in Table 1. For example, Equivalent Availability Factor data should include for each generator: available hours, equivalent planned derated hours, equivalent unplanned derated hours, and period hours or number of hours that the generation asset was in active state, by month.
- For each performance incentive metric, an indication of whether Genera is currently reporting on each metric in its quarterly reports in this proceeding, and if so a discussion of any differences in methodology between how Genera currently reports on the metric in its quarterly reports versus how it would calculate its performance for the incentive calculation.
- Genera should provide the data such that the Energy Bureau can use the information submitted by Genera to appropriately modify the quarterly reporting data template



in the future to include the performance incentive metrics in Table 1. Additionally, the Energy Bureau should be able to replicate the values reported in Genera's FY25 Incentive and Penalties report.²

C. Genera's October 20, November 3, and November 20 Motions

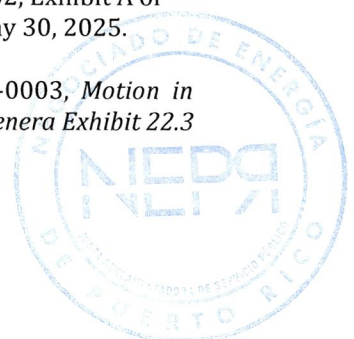
In Genera's October 20 and November 20 Motions, Genera addressed a number of the Energy Bureau's directives in the October 7 Resolution, including those related to using the most recent data template and reporting missing data for total emissions, emissions rate, and cost of generation metrics.

However, there are still several directives that Genera did not address or only partially addressed in its Motions. These are discussed below.

- Capital expenses vs. budget (FYTD) for federally funded and system subgroups:
 - Genera did not provide any data for these metrics and stated it was unable to do so because the federally funded subgroup represents a moving target due to the many variables affecting the metric, such as the timing of awarded funds. Genera stated its intent to comply with the Energy Bureau's directives and requested further guidance from the Energy Bureau so it could align its reporting and present accurate data in compliance with the Energy Bureau's directives.
 - The Energy Bureau acknowledges multiple factors influence federal funds available to Genera throughout the year. Nevertheless, the Energy Bureau finds it appropriate to report on the actual expenditure compared to the best projection of federal funds available to Genera at the start of the fiscal year. The Energy Bureau is aware that this projection may change due to reasons outside Genera's control. To calculate the capital expenses vs. budget (FYTD) metric for the federally funded subgroup, the Energy Bureau **DIRECTS** Genera to divide its year-to-date actual federally funded capital expenses by its initial annual projected federal funds. For the forecast, Genera should use the projected federally funded capital expenditures it has provided in the rate case³, unless it has reason to rely on a different projection.
 - Given the multitude of factors that may affect the capital expenses vs budget (FYTD) federally funded metric, the Energy Bureau **REQUIRES** Genera to submit additional supporting data to aid the Energy Bureau in its interpretation of this metric. The Energy Bureau wishes to review Genera's actual federally funded capital expenditures and Genera's initial projection of expected federally funded capital expenditures and the actual federal funds available throughout the year as they are awarded to Genera. This data should all be provided in the work papers that Genera submits.
- Emissions Metrics: Genera provided data for the total emissions and emissions rates metrics for the period that the Energy Bureau requested. However, these values do not appear to be the correct order of magnitude based on the units of measure stated in the template. Genera **MUST** review its methodology and data for these metrics to verify data accuracy and **SHALL** submit the corresponding workpapers for these metrics.
- Hydroelectric Metrics (cost, availability, and forced outages): Genera states that the data template provides only one row to report aggregated hydroelectric data, rather than providing separate rows for each hydroelectric plant. The Energy Bureau clarifies this is intentional to simplify the reporting requirements for these metrics. Genera is not required to provide this data at the individual hydroelectric plant level

² See *In re: Genera PR LLC F2024 Incentives and Penalties Report*, Case No. NEPR-MI-2025-0002, Exhibit A of Motion in Compliance with Section 7.1(c)(ii) of the Operations and Maintenance Agreement, May 30, 2025.

³ See *In re: Puerto Rico Electric Power Authority Rate Review Case* No. NEPRE-AP-2023-0003, *Motion in Compliance with Order to Inform of Revised Budget Spreadsheets and Submission of Updated Genera Exhibit 22.3 Rev*, filed by Genera on October 31, 2025.



and only needs to report the aggregated values for the hydroelectric fleet. The Energy Bureau acknowledges that Genera needs to request this information from PREPA, and it may take longer to receive this data.

- Finance Metrics (FYTD): The Energy Bureau directed Genera to correct its calculations for all finance metrics (FYTD) to ensure the methodology is consistent across reporting years. Genera should report its financial metrics as actual cumulative FYTD expenditure as a percentage of total budget. The values Genera provided for the operational expenses vs. budget (FYTD) and capital expenses vs. budget (FYTD) non-federally funded subgroup metrics in months before July 2024 do not appear to be calculated in this way. Genera is **ORDERED** to update and re-report all FYTD metrics so a uniform methodology is applied for every reporting year. This correction is necessary to ensure comparability, accuracy, and transparency in the performance data.
- Methodology tab: The Energy Bureau directed Genera to update the methodology tab in the quarterly template to include proper text definitions rather than inserted images. Genera **MUST** write all methodological notes directly in the designated fields of the Genco Methodology tab to allow for clear review, accessibility, and traceability. Genera's filing failed to do so. The Energy Bureau reiterates this update is required to bring Genera's reporting practices into full compliance with established template standards.

D. LUMA's October 20 Motion

In its October 20 Motion, LUMA reiterated its request to modify the Energy Bureau's reporting template to include additional municipalities for the metric titled Percent of Customers on AMI.⁴ LUMA emphasized the need for a template that captures data comprehensively and allows for more consistent reporting across jurisdictions. The Energy Bureau agrees with the need to report complete and comprehensive data and intends to modify the template per LUMA's request. LUMA should continue tracking this data so it can report historical AMI data for all municipalities once the Energy Bureau issues the updated data template. LUMA noted that, because it is modifying its reporting systems to comply with the Energy Bureau's orders in Docket No. NEPR-AP-0003, LUMA is not reporting September values for several metrics in its October submission. Therefore, LUMA requested the Energy Bureau authorize the inclusion of the September 2025 values in its subsequent quarterly report. The Energy Bureau **GRANTS** LUMA's request.

IV. Conclusion

The Energy Bureau **ORDERS** LUMA and Genera to submit supporting workpapers for the metrics in **Attachment A** of this Resolution and Order for FY2025 and to continue reporting these workpapers at the specified frequency for subsequent fiscal years. LUMA and Genera must provide the minimum required data outlined in **Attachment A** for FY2025 within thirty (30) calendar days of the notification of this Resolution and Order.

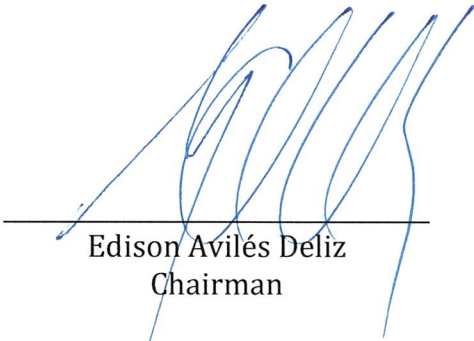
The Energy Bureau **ORDERS** Genera to file performance data along with supporting information and workpapers for performance incentive metrics in Table 1 within thirty (30) calendar days of the notification of this Resolution and Order.

The Energy Bureau **ORDERS** Genera to resolve all reporting issues stated in the Energy Bureau's October 7 Resolution and reiterated in section C of this Resolution and Order and grants Genera thirty (14) days from the notification of this Resolution and Order to resolve all reporting issues specified and to provide the data required.

Be it notified and published.

⁴ LUMA had previously requested that the Energy Bureau modify the template to include AMI reporting rows for every municipality in the motion accompanying its July 25 Submission.

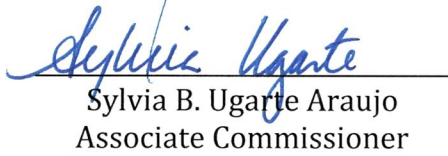




Edison Avilés Deliz
Chairman



Lillian Mateo Santos
Associate Commissioner



Sylvia B. Ugarte Araujo
Associate Commissioner



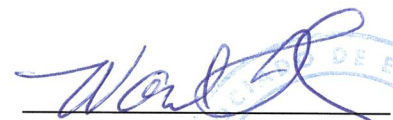
Antonio Torres Miranda
Associate Commissioner

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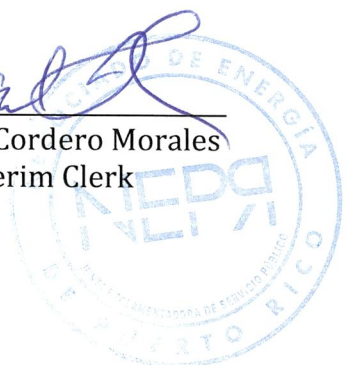
CERTIFICATION

I hereby certify that the majority of the members of the Puerto Rico Energy Bureau has so agreed on December 19, 2025. Associate Commissioner Ferdinand A. Ramos Soegaard did not intervene. Also certify that on December 19, 2025, I have proceed with the filing of the Resolution and was notified by electronic mail to: jdiaz@sbgblaw.com; jennalvarez@sbgblaw.com; margarita.mercado@us.dlapiper.com, Yahaira.delarosa@us.dlapiper.com; katuska.bolanos-lugo@us.dlapiper.com; mvalle@gmlex.net; nzayas@gmlex.net; rcruzfranqui@gmlex.net; alexis.rivera@prepa.pr.gov; jfr@sbgblaw.com, hrivera@jrsp.pr.gov, legal@genera-pr.com; regulatory@genera-pr.com, and I have proceeded with the filing of the Resolution and Order issued by the Puerto Rico Energy Bureau.

I sign this in San Juan, Puerto Rico, on December 19, 2025.



Wanda I. Cordero Morales
Interim Clerk



Attachment A
Minimum Required Data

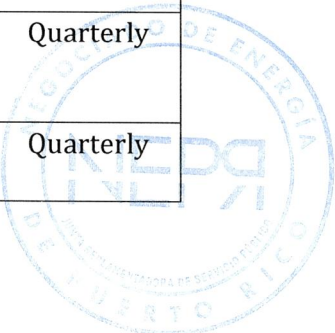
To comply with the Energy Bureau’s directive to submit additional work papers, LUMA and Genera must include, at a minimum, the supporting data in the following tables. For supporting data requested on a quarterly basis, LUMA and Genera should submit the required information alongside their regular quarterly filings. For data requested on an annual basis, LUMA and Genera should include the complete data set with their final quarterly submission for the fiscal year. The tables below list the minimum data that LUMA and Genera must include in their work papers to support the values reported in LUMA’s and Genera’s quarterly submission. LUMA and Genera need not be limited to the specific data types in this table and should include all relevant supporting data.

LUMA

The Energy Bureau previously requested this information in its June 18 Resolution and acknowledges that LUMA provided the related data with its July 3 and August 1 Motions. The previously submitted data aligns with the Energy Bureau’s expectations. To comply with this Resolution and Order, LUMA must resubmit the complete dataset and all supporting work papers covering the full FY2025. LUMA must also begin to report this data in subsequent fiscal years at the frequency specified. LUMA may present the data in an alternative format if it facilitates reporting, but the submission must include all data specifications outlined in Table 2 below.

Table 2. Workpaper requirements for LUMA

Performance Metric(s)	Data Specification	Timeline
<ul style="list-style-type: none">• OSHA DART Rate (FYTD)• OSHA Severity Rate (FYTD)• OSHA Fatalities (FYTD)• OSHA Recordable Incident Rate (FYTD)	Data referenced in Resumen metricas methodology tab including: <ul style="list-style-type: none">• Hours worked• Incident data• Number of active employees	Annually
<ul style="list-style-type: none">• Operational expenses vs. budget (FYTD) - T&D• Capital expenses vs. budget (FYTD) - Federally Funded• Capital expenses vs. budget (FYTD) – Non-Federally Funded	Data referenced in Resumen metricas methodology tab including: <ul style="list-style-type: none">• Actual expenditures• Approved budget• Approved budget including 2% excess expenditures	Quarterly
<ul style="list-style-type: none">• Distribution line inspections (FYTD) – System• Transmission line inspections (FYTD) – System• T&D substation inspections (FYTD) – System	<ul style="list-style-type: none">• Date inspection conducted• Name of asset inspected, or other identifier• Full database of assets, and last date inspected• Identifier if corrective action required	Annually
<ul style="list-style-type: none">• SAIDI (T&D FYTD) – System• SAIFI (T&D FYTD) – System• Monthly SAIDI (T&D)- District• Monthly SAIFI (T&D)- District	<ul style="list-style-type: none">• Customer minutes interrupted (CMI)• Customers served (CN)• Customers interrupted (CI)	Quarterly
<ul style="list-style-type: none">• Overtime (FYTD)	<ul style="list-style-type: none">• Total overtime expenses• Total non-exempt base compensation expenses	Annually
<ul style="list-style-type: none">• Customer Complaint Rate (FYTD)	<ul style="list-style-type: none">• Total NEPR-QR complaints• Total NEPR- RV complaints• Customer count	Quarterly
<ul style="list-style-type: none">• Vegetation Maintenance Miles Completed (FYTD) – System	<ul style="list-style-type: none">• Miles of vegetation maintenance miles completed by category (corrective, reactive, and preventive)	Quarterly
<ul style="list-style-type: none">• NEM Project Activation Duration (FYTD)	<ul style="list-style-type: none">• For every expedited project counted by the metric (completed in the year),	Quarterly



Performance Metric(s)	Data Specification	Timeline
	<p>the filing date of the complete application and the date when the net energy metering (NEM) tariff is activated on the customer's account</p> <ul style="list-style-type: none">For every expedited project counted by the metric (completed in the year), the date of any other steps or milestones that are trackedFor every expedited project counted by the metric (completed in the year), the duration of and explanation for any period <u>not</u> counted (i.e., time when LUMA "stopped the clock") because of a customer delayExplanation of any differences in the data represented in the Portal Conexión LUMA" and the data represented in "Portal de Radicaciones Electrónicas para Proyectos" (PREP LUMA) interfaces	

Genera

Table 3 below outlines the supporting data that Genera is required to provide under the timeline specifications outlined.

Table 3. Workpaper requirements for Genera

Performance Metric(s)	Data Specification	Timeline
<ul style="list-style-type: none">OSHA Recordable rateOSHA DART RateOSHA Severity RateOSHA Fatality Rate	<p>Data referenced in Resumen metricas GenCo methodology tab including:</p> <ul style="list-style-type: none">Hours workedFrequencyNumber of work incidents that resulted in missed days, work restrictions or temporary job transferRecords of work incidents and absences due to illness or injury	Annually
<ul style="list-style-type: none">Operational Expenses vs. budget (FYTD system)Operational expenses vs budget (FYTD Labor)Operational expenses vs budget (FYTD Non-Labor)Capital expenses vs. budget (FYTD) NMECapital expenses vs budget (FYTD) Non-federally funded	<p>Data referenced in Resumen metricas GenCo methodology tab including:</p> <ul style="list-style-type: none">Actual monthly operating expenses by category (System, Labor, Non-Labor)Annual operating budget by category (System, Labor, Non-Labor)Actual monthly capital expenses by category (NME, Non-Federally Funded)Annual capital budget by category (NME, Non-Federally Funded)	Quarterly
<ul style="list-style-type: none">Capital expenses vs budget (FYTD) federally funded	<ul style="list-style-type: none">Actual monthly capital expensesAnnual forecasted federal fundsActual awarded federal funds	Quarterly
<ul style="list-style-type: none">Average heat rate (system)Average heat rate (by plant)	<p>Data referenced in Resumen metricas GenCo Methodology tab including:</p> <ul style="list-style-type: none">Total fuel consumption (Btu)Total electricity generated (kWh)	Quarterly
<ul style="list-style-type: none">Plant availability (by plant)	<p>Data referenced in Resumen metricas GenCo Methodology tab including:</p> <ul style="list-style-type: none">Total available hoursUnplanned downtime hoursPlanned downtime hours	Quarterly



<ul style="list-style-type: none">Forced outages (system)Forced outages (by plant)	Data referenced in Resumen metricas GenCo Methodology tab including: <ul style="list-style-type: none">Forced outage hoursTotal available hours	Quarterly

